

## FORMALITIES LETTER



\*OC00000005334478\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/606,910         | 06/29/2000          | Uwe Karsten           | 0107-027P              |

23622  
GABRIEL P KATONA, LLP  
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NEW YORK, NY 10017

Date Mailed: 08/18/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 950.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/606,910 09/606,910  
355.00 OP  
65.00 OP  
130.00 OP



Sector \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Karsten, et al.

Ser. No. 09/606,910

Filed on June 29, 2000

For TUMOR VACCINES FOR MUCI-POSITIVE CARCINOMAS

Attorney's Docket 0107-027P

Box Missing Parts - Fee  
Hon. Commissioner of Patents and Trademarks  
Washington DC 20231

Submission of Missing Parts of Application

Sir:

In response to the Notice of Missing parts, please find enclosed the following:

- (1) Translation of application with certificate of accuracy;
- (2) Declaration;
- (3) Cover sheet with assignment and \$40 credit card charge authorization;
- (4) Certified copy of German application priority of which was claimed;
- (5) Small entity status claim form;
- (6) Credit card charge authorization form for \$550 (\$355 filing fee; \$130 foreign language application fee; \$65 late surcharge);
- (7) Preliminary amendment with new drawing and substitute disclosure;

together with a copy of the Notice to File Missing Parts.

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Respectfully submitted,

Gabriel P. Katona  
attorney of record

I hereby certify that this correspondence is deposited with the U.S. Postal Service as first class mail, addressed as above, on  
October 18, 2000

Cynthia A. Pilato



Patentanwalt  
Dr. F. Baumbach  
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Dr. F. Baumbach, Robert-Rössle-Str. 10, 13125 Berlin

### Certificate

I, Patent Attorney Dipl.-Chem. Dr. Friedrich Baumbach

declare that I am competent in the German and English languages and I do hereby certify, that the annexed document is the best of knowledge and belief true and correct translation of the

PCT/DE98/03819 – WO 99/34824.

Declared at Berlin  
this 28<sup>th</sup> day of September 2000.

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